



11-7-03

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/626,907	
	Filing Date	07/25/2003	
	First Named Inventor	Van Dyke, et al.	
	Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	4+	Attorney Docket Number	SWRI-2966-03

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) 5 references; 2) Cert. of Mail. under 37 CFR 1.10; & 3) Return receipt postcard.
Remarks The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (SWRI-2966-03), maintained by PAULA D. MORRIS & ASSOCIATES, P.C.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	PAULA D. MORRIS, Registration No. 31,516
Signature	<i>Paula D. Morris</i>
Date	11-6-03

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Please see attached certificate of mailing under 37 CFR 1.10
Signature	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Van Dyke, et al. § Group Art Unit: Not Yet Assigned
Serial No.: 10/626,907 § Examiner: Not Yet Assigned
Filed: 07/25/2003 § Atty. Docket No.: SWRI-2966-03

Title: Bioactive Coating for Medical Devices

MAIL STOP NON FEE AMENDMENT

Commissioner for Patents
PO BOX 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists. Applicant is in the process of compiling another Information Disclosure Statement and requests that examination be held in abeyance until the Supplemental Information Disclosure Statement has been filed.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0997 (SWRI-2966-03), maintained by Paula D. Morris & Associates, P.C..

Respectfully submitted,

Paula D. Morris, Registration No. 31,516
The Morris Law Firm, P.C.
10260 Westheimer, Suite 360
Houston, Texas 77042-3110
Telephone: (713) 334-5151
Facsimile: (713) 334-5157



PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449B/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/626,907		
		Filing Date	07/25/2003		
		First Named Inventor	Van Dyke, et al.		
		Group Art Unit	Not Yet Assigned		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	SWRI-2966-03

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		IWATA, et al.; Coating Film For Living Tissues; November 2, 1985; total of 9 pages; Japanese Patent Application Kokai Publication No. Sho 60-220068	
		ENDO; De-Allergized Rubber or Plastic Molding Used in the Field of Medical Care; April 16, 2002; total of 5 pages; Japanese Patent Application Kokai Publication No. 2002-113815	
		YOSHIOKA et al; Modified Animal Hair or Wool Powder; July 11, 1989; total of 13 pages; Japanese Unexamined Patent Application Publication H01-174528	
		MIYAMOTO et al; Process for Producing Modified Keratin Protein; February 6, 1982; total of 4 pages; Japanese Patent Application Kokai Publication No. Sho 57-23631.	
		YAMAUCHI et al; Keratin Microcapsule, Production of Keratin Microcapsule, and Cosmetics Containing Keratin Microcapsules; December 22, 1998; total of 5 pages; Japanese Patent Application Kokai Publication No. H10-337466	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10 on the date indicated below addressed to:

MAIL STOP NON FEE AMENDMENT

Commissioner for Patents
PO BOX 1450
Alexandria, VA 22313-1450

on 11-06-03
Date

EV 338010147 US
Express Mail Label No.

Ann Marie Alaniz
Signature

Ann Marie Alaniz
Typed or Printed Name

Note: Each paper must have its own certificate of Mailing or this certificate must identify each submitted paper

Transmittal form PTO/SB/21 [1 page], Information Disclosure Statement transmittal [1 page], PTO form SB/08B [1 page], 5 references and return receipt postcard.

Applicant:	<u>Van Dyke, et al.</u>	Group Art Unit:	<u>Not Yet Assigned</u>
Serial No.:	<u>10/626,907</u>	Examiner:	<u>Not Yet Assigned</u>
Filing Date:	<u>07/25/2003</u>	Atty. Docket No.:	<u>SWRI-2966-03</u>
Title:	<u>Bioactive Coating for Medical Devices</u>		